



Dear Sir/Madam,

At its 2020.1 session, the Postal Operations Council (POC), in accordance with article 113.1.13 of the General Regulations and article 17 of the POC Rules of Procedure, examined and approved certain proposed amendments to the Convention Regulations, which are set out in Annex 1.

The following table shows the numbers and titles of the articles concerned, as set out in further detail in Annex 1 (articles presented in numerical order within each volume). The amendments will enter into force on the dates indicated below.

Convention Regulations

Volume I Rules in common

<i>Article</i>	<i>Subject</i>	<i>Entry into force</i>
20-001	Items subject to customs control	1 January 2021
20-002	Presentation-to-Customs charge	1 January 2021
34-002	Settlement of accounts: general rules	1 July 2020
34-011	Letter mail: provisional payments of terminal dues	1 June 2020

Volume II Letter Post Regulations

<i>Article</i>	<i>Subject</i>	<i>Entry into force</i>
17-104	Limits of size	1 January 2021
17-107	Special provisions applicable to each category of items	1 January 2021
17-131	Electronic exchanges to support mail processes	1 January 2021
19-101	Treatment of items wrongly admitted	1 January 2021

<i>Article</i>	<i>Subject</i>	<i>Entry into force</i>
30-112 (Amendment A)	Mechanism for revising the rates of terminal dues	1 July 2020
30-112 (Amendment B)	Mechanism for revising the rates of terminal dues	1 January 2022
30-116	Statistical counts for exchanges of mail between designated operators of countries in the target system	1 July 2020

Volume III Parcel Post Regulations

<i>Article</i>	<i>Subject</i>	<i>Entry into force</i>
17-210	Formalities to be complied with by the sender	1 January 2021
17-216	Electronic exchanges to support mail processes	1 January 2021
19-201	Treatment of parcels wrongly admitted	1 January 2021

Regulations, Forms

Form CN 23	Customs declaration	1 July 2020
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Yours faithfully,

Ricardo Guilherme Filho
Director of Legal Affairs

Convention Regulations

Volume I

Rules in common

Article 20-001

Items subject to customs control

Paragraph 2.2 was amended:

- 2.2 ~~Where designated operators so agree in advance,~~ In accordance with article 08-002, customs data provided in accordance with the instructions on the CN 22 or CN 23 customs declarations, including the names and addresses of the sender and addressee, ~~may~~ shall be transmitted electronically, in compliance with UPU ~~Technical~~ EDI Messaging Standard M33 (ITMATT V1), to the designated operator of the country of destination. The designated operator of origin may share all or part of these data with the customs administration in the country of origin for export purposes, and the designated operator of destination may share all or part of these data with the customs administration in the country of destination for customs import purposes.

Article 20-002

Presentation-to-Customs charge

New paragraph 1.2 was created and paragraph 2.3 was amended:

- 1.2 In the absence of special agreement, the charge shall be collected from the addressee by the designated operator of destination. However, in the case of items for delivery free of charges and fees, the presentation-to-Customs charge shall be collected by the designated operator of origin on behalf of the designated operator of destination.
- 2.3 ~~In the absence of special agreement, the charge shall be collected at the time of delivery of the parcel to the addressee from the addressee by the designated operator of destination.~~ However, in the case of parcels items for delivery free of charges and fees, the presentation-to-Customs charge shall be collected by the designated operator of origin on behalf of the designated operator of destination.

Article 34-002

Settlement of accounts: general rules

New paragraph 3bis was created:

3bis For 2020, where two sets of terminal dues rates might apply, the creditor designated operator may choose to create one set of forms for the settlement of accounts for the period from January to June 2020, and another set for the settlement of accounts for the period from July to December 2020; alternatively, it may calculate a compound terminal dues rate for the settlement of accounts concerning the whole year of 2020.

Article 34-011

Letter mail: provisional payments of terminal dues

New paragraph 1bis was created:

1bis For 2020, provisional payments shall be calculated on the basis of the provisional terminal dues rates applicable from January 2020 to June 2020.

Volume II**Letter Post Regulations**

Article 17-104

Limits of size

Paragraph 1 was amended and new paragraph 3bis was created:

1 The limits of size of items other than postcards, ~~and~~ aerogrammes and small packets are given below:

1.1 and (No change.)

1.2

3bis The limits of size of small packets shall be as follows:

3bis.1 maxima: length, width and depth combined: 900 mm, but the greatest dimension may not exceed 600 mm, with a tolerance of 2 mm; in roll form: length plus twice the diameter: 1,040 mm, but the greatest dimension may not exceed 900 mm, with a tolerance of 2 mm;

3bis.2 minima: 105 x 148 mm, with a tolerance of 2 mm.

Article 17-107

Special provisions applicable to each category of items

Paragraph 7 was amended:

7 M bags

7.1 to (No change.)

7.5

7.6 Designated operators ~~may~~ shall apply a single barcode identifier conforming to UPU Technical Standard S10 to M bags to enable the ~~future~~ provision of cross-border customs ~~pre-advance~~ electronic advance data in compliance with UPU Messaging Standard M33 (ITMATT V1). However, the presence of such an identifier shall not imply the provision of a delivery confirmation service. The identifier should appear on the front of the item and should not obscure the other service markings, indicia or address information.

7.6bis In accordance with article 08-002, designated operators shall capture and exchange electronic advance data. The data shall replicate the information documented on the appropriate UPU customs declaration form and shall be compliant with UPU EDI Messaging Standard M33 (ITMATT V1).

Article 17-131

Electronic exchanges to support mail processes

New paragraph 0bis.3 was created:

0bis.3 In accordance with article 08-002, designated operators shall equally ensure that the S10 item identifiers of all items containing goods are included in the PREDES electronic message (UPU EDI Messaging Standard M41) sent to the designated operator of destination.

Article 19-101

Treatment of items wrongly admitted

Paragraph 2 was amended and new paragraph 3bis was created:

2 Items containing articles mentioned in articles ~~19.2.1.1 and 19.2.~~ 19.3.1 and 19.3.2 of the Convention and wrongly admitted ~~to the post~~ shall be dealt with according to the legislation of the country of the designated operator of origin, transit or destination establishing their presence.

3bis The designated operator of destination shall be authorized to deliver to the addressee, under the conditions prescribed by its regulations, an uninsured item originating in a country which admits insurance and containing articles listed in article 19.6.1 of the Convention. If delivery is not permitted, the item shall be returned to sender.

Article 30-112 (Amendment A)

Mechanism for revising the rates of terminal dues

Paragraph 6 was amended:

6 The new terminal dues rate for the traffic in question shall be calculated in SDR as follows: rate per kilogramme = (Average number of items per kilogramme x rate per item provided for in article 30.4bis, 4ter and 5) + rate per kilogramme provided for in article 30.3, 4bis, 4ter and 5. The average number of items per kilogramme is taken from the sampling under 5.

Article 30-112 (Amendment B)

Mechanism for revising the rates of terminal dues

Paragraphs 1, 6 and 7 were amended:

1 A designated operator sending or receiving flows of more than the flow threshold specified in article 29.17 of the Convention (excluding M bags) may ask the corresponding designated operator for the application of the revision mechanism described below for determining the new rate of terminal dues suited to their traffic. This request may be made subject to the following conditions:

- 1.1 when a designated operator in the target system establishes that the average number of items per kilogramme (IPK) received from a designated operator in the transition system is higher than ~~4~~ 12;
- 1.2 when a designated operator in the transition system establishes that the average number of items per kilogramme sent to another designated operator is lower than ~~8~~ 7;
- 1.2.1 (No change.)
- 1.3 when a designated operator in the transition system establishes that the average number of items per kilogramme received from another designated operator is higher than ~~4~~ 12;
- 1.4 (No change.)

6 The new terminal dues rate for the traffic in question shall be calculated in SDR as follows: rate per kilogramme = (Average number of items per kilogramme x rate per item provided for in article 30.4bis, 4ter and 5) + rate per kilogramme provided for in article 30.3, 4bis, 4ter and 5. The average number of items per kilogramme is taken from the sampling under ~~5~~ 4.

7 In cases where the average number of items per kilogramme falls between ~~8~~ 7 and ~~43~~ 12, the rate provided for in article 30.5 of the Convention shall apply to the traffic in question for the following calendar year. For the calendar year for which the average number of items falls between ~~8~~ 7 and ~~43~~ 12, the average number of items per kilogramme from the sampling shall be used for calculating the terminal dues rate. In addition, sampling for items per kilogramme shall cease if the average number of items per kilogramme from the sampling performed under ~~5~~ 4 falls between ~~8~~ 7 and ~~43~~ 12 for the whole calendar year, until such time as the conditions for sampling have been satisfied and sampling has been reactivated.

Article 30-116

Statistical counts for exchanges of mail between designated operators of countries in the target system

Paragraph 1 was amended:

1 For exchanges of mail between designated operators of countries in the target system prior to 2010, a statistical count shall be carried out. However, to avoid sampling costs for small exchanges between designated operators of countries in the target system prior to 2010, the average number of items per kilogramme between designated operators of countries in the target system shall be applied for mail flows below a certain threshold, ~~unless one or if both designated operators concerned insist on sampling to know the exact number of items per kilogramme in one or both directions so agree~~. The POC shall fix the threshold and the average number of items per kilogramme to be applied.

Volume III

Parcel Post Regulations

Article 17-210

Formalities to be complied with by the sender

Paragraph 3 was amended:

3 ~~Where designated operators so agree in advance~~, In accordance with article 08-002, customs data provided in accordance with the instructions on the CN 23 customs declaration, including the addresses and names of the sender and addressee, ~~may shall~~ be transmitted electronically, in compliance with UPU EDI Messaging Standard M33 (ITMATT V1), to the designated operator of the country of destination.

The designated operator of origin may share all or part of these data with the customs administration in the country of origin for export purposes, and the designated operator of destination may share all or part of the data referenced above with the customs administration in the country of destination for customs import purposes.

Article 17-216

Electronic exchanges to support mail processes

Paragraphs 1 and 2bis were amended:

1 Designated operators ~~that operate a track and trace system~~ shall provide track and trace information using UPU EDI Messaging Standard M40 (EMSEVTv3) about all outward and inward parcels on their national territory and shall ensure that the data are exchanged with all partner designated operators. The following characteristics apply to the EMSEVT messages sent:

2bis Capture and exchange of electronic advance data – M33 ITMATT V1 and M41 PREDES v2.1 messages:

2bis.1 and (No change.)

2bis.2

2bis.3 In accordance with article 08-002, designated operators shall equally ensure that the S10 item identifiers of all items containing goods are included in the PREDES electronic message (UPU EDI Messaging Standard M41) sent to the designated operator of destination.

Article 19-201

Treatment of parcels wrongly admitted

Paragraphs 5 and 6 were amended:

5 ~~If~~ When a parcel ~~wrongly admitted to the post~~ or part of its contents wrongly admitted to the post is neither ~~delivered to the addressee nor~~ returned to sender nor delivered to the addressee, the designated operator of origin shall be notified without delay how the parcel has been dealt with. This notification shall clearly indicate the prohibition under which the parcel falls ~~or~~ and the articles which gave rise to its seizure. A wrongly admitted parcel ~~that~~ which is returned to origin shall be accompanied by a similar notification. The designated operator of destination or transit may deliver or forward to the addressee the part of the contents which is not subject to prohibition.

6 In the event of the seizure of a wrongly admitted parcel, the ~~destination or transit~~ designated operator of transit or destination shall ~~so inform~~ notify the designated operator of origin through the dispatch of a CN 13 ~~form~~ report or, if agreed bilaterally, by using the appropriate standard UPU EDI item-level message (EME tracking event and corresponding retention code).

Regulations, Forms

Form CN 23 Customs declaration

Standalone form CN 23 was amended:

CUSTOMS DECLARATION					CN 23		
From (Designated operator)		Sender's customs reference (if any)		No. of item (barcode, if any)		Important! See instructions on the back	
Name							
Business							
Street		Tel. No.					
Postcode		City					
Country							
To				Importer/addressee reference (if any) (tax code/VAT No./importer code) (optional)			
Name							
Business							
Street		Tel. No.		Importer/addressee fax/e-mail (if known)			
Postcode		City					
Country							
Detailed description of contents (1)		Quantity (2)	Net weight (in kg) (3)	Value (5)	For commercial items only		
					HS tariff number (7)	Country of origin of goods (8)	
			Total gross weight (4)	Total value (6)	Postal charges/Fees (9)		
Category of item (10)		Commercial sample <input type="checkbox"/> Other (please specify): _____		Office of origin/Date of posting		Number of parcels <input type="checkbox"/> certificates and invoices	
<input type="checkbox"/> Gift		Returned goods <input type="checkbox"/> Explanation: _____				Insured value SDR	
<input type="checkbox"/> Documents		Sale of goods				Total gross weight of the parcel(s)	
Comments (11): (e.g.: goods subject to quarantine, sanitary/phytosanitary inspection or other restrictions)						Charges	
<input type="checkbox"/> Invoice (12) No.(s), of licence(s)		<input type="checkbox"/> Certificate (13) No.(s), of certificate(s)		<input type="checkbox"/> Invoice (14) No. of invoice		Sender's instructions in case of non-delivery	
						Treat as abandoned <input type="checkbox"/> Return to sender <input type="checkbox"/>	
						Priority <input type="checkbox"/> Non priority <input type="checkbox"/>	
I certify that the particulars given in this customs declaration are correct and that this item does not contain any dangerous article prohibited by legislation or by postal or customs regulations		Date and sender's signature (15)		Declaration by addressee		I have received the parcel described on this note Date and addressee's signature	

Size 210 x 148 mm